



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|------------------------------|---|------------------------------------|
| In re Patent Application of: |) | Docket No.: 089070-0311376 |
| Joseph G. GATTO |) | Confirmation No.: 8479 |
| Serial No.: 09/982,838 |) | Examiner: Narayanswamy Subramanian |
| Filed: October 22, 2001 |) | Group Art Unit: 3624 |

For: SECURITY ANALYST ESTIMATES PERFORMANCE VIEWING SYSTEM AND METHOD

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby makes of record in the above-identified application the documents listed on the attached Form PTO-1449.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This Supplemental Information Disclosure Statement is being filed more than three months after the U.S. filing date, and after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Action or Notice of Allowance, whichever occurs first. 37 C.F.R. 1.97(c). Please charge the \$180.00 fee [37 C.F.R. 1.17(p)] to Deposit Account No. 03-3975. In addition, please charge any fee deficiencies, or credit any

overpayment, to Deposit Account No. 03-3975 as needed to ensure consideration of the Supplemental Information Disclosure Statement. A duplicate copy of this paper is attached.

Document A was cited by the U.S. Patent Office in co-pending Application Serial No. 09/722,050, filed November 27, 2000, in an Office Action dated December 14, 2004.

Document B was cited by the U.S. Patent Office in co-pending Application Serial No.

09/775,599, filed February 5, 2001, in an Office Action dated March 25, 2005. Document C

was cited by the U.S. Patent Office in co-pending Application Serial No. 09/893,460, filed

June 29, 2001, in an Office Action dated March 25, 2005. Document D was cited by the

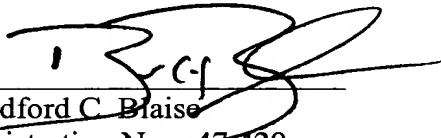
U.S. Patent Office in co-pending Application Serial No. 10/119,082, filed April 10, 2002, in a

Notice of Allowability dated March 30, 2005.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449.

Respectfully submitted,

PILLSBURY WINTHROP SHAW PITTMAN LLP

By 
Bradford C. Blaise
Registration No. 47,429

Customer No.
00909

P. O. Box 10500
McLean, Virginia 22102
Telephone: (703) 905-2000
Telefax: (703) 905-2500

Dated: September 21, 2005

[illegible]

| | |
|--|-----------------|
| EXAMINER | DATE CONSIDERED |
| <p>EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.</p> | |